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NO. 7884 P. 1/4

Univation Technologies, LLC 5555 San Felipe, 19<sup>th</sup> Floor, Houston, Texas 77056 United States of America

**Univation**  
TECHNOLOGIES

Date: Monday, November 29, 2004

Number of pages including cover sheet: 4

**TO: MAIL STOP RCE**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attn: Group 1713/Examiner Chueng

Telephone: (571) 272-1097

Facsimile (703) 872-9306

**FROM: KEVIN M. FAULKNER**

Univation Technologies, LLC

5555 San Felipe Road, Suite 1950

Houston, Texas 77056

Telephone (713) 892-3711

Facsimile (713) 892-3687

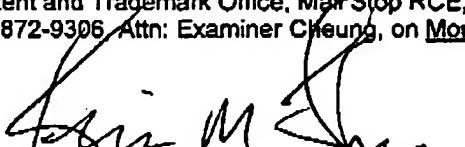
**REMARKS:**  Urgent  For your review  Reply ASAP  Please comment

**Re:** U.S.S.N.: 10/772,823  
**Filing Date:** 02/05/2004  
**Attorney Docket No.:** 2000U042D1-CON2

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence consisting of a RCE Transmittal and Fee Transmittal in duplicate are being facsimile transmitted to the United States Patent and Trademark Office, Mail Stop RCE, P.O. Box 1450, Alexandria, VA 22313-1450, to facsimile number (703) 872-9306 Attn: Examiner Cheung, on Monday, November 29, 2004.

11/29/04  
Date



Kevin M. Faulkner  
Attorney for Applicants  
Registration No. 48,427

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UNIVATION LAW DEPT CENTRAL FAX CENTER

NO. 7884 P. 2/4

NOV 29 2004

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 790.00)

... Complete if Known

Application Number	10/772,823
Filing Date	02/05/2004
First Named Inventor	Simon Mawson
Examiner Name	Cheung, William K.
Art Unit	1713
Attorney Docket No.	2000U042D1-CON2

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number 50-0589  
 Deposit Account Name Univation Technologies

The Commissioner is authorized to: (check all that apply)  
 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee			
1002 330	2002 165	Design filing fee			
1003 520	2003 260	Plant filing fee			
1004 750	2004 375	Reissue filing fee			
1005 180	2005 80	Provisional filing fee			
SUBTOTAL (1) (\$)		0.00			

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims below	Fee from	Fee Paid
	-20" =	0 x 18 =	0
Independent Claims	-3" =	0 x 84 =	0
Multiple Dependent		280 =	0

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20		
1201 84	2201 42	Independent claims in excess of 3		
1203 280	2203 140	Multiple dependent claim, if not paid		
1204 84	2204 42	" Reissue independent claims over original patent		
1205 18	2205 9	" Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2) (\$)		0.00		

\*or number previously paid, # greater. For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 85	SurchARGE - late filing fee or oath			
1052 50	2052 25	SurchARGE - late provisional filing fee or cover sheet			
1053 130	1053 130	Non-English specification			
1812 2,520	1812 2,520	For filing a request for ex parte reexamination			
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action			
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action			
1251 110	2251 55	Extension for reply within first month			
1252 410	2252 205	Extension for reply within second month			
1253 930	2253 485	Extension for reply within third month			
1254 1,450	2254 725	Extension for reply within fourth month			
1255 1,870	2255 985	Extension for reply within fifth month			
1401 320	2401 160	Notice of Appeal			
1402 320	2402 160	Filing a brief in support of an appeal			
1403 280	2403 140	Request for oral hearing			
1451 1,510	1451 1,510	Petition to institute a public use proceeding			
1452 110	2452 55	Petition to revive - unavoidable			
1453 1,300	2453 650	Petition to revive - unintentional			
1501 1,300	2501 650	Utility issue fee (or reissue)			
1502 470	2502 235	Design issue fee			
1503 630	2503 315	Plant issue fee			
1460 130	1460 130	Petitions to the Commissioner			
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)			
1808 180	1808 180	Submission of Information Disclosure Stmt			
8021 40	8021 40	Recording each patent assignment per property (times number of properties)			
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(e))			
1610 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))			
1601 750	2801 375	Request for Continued Examination (RCE)	780.00		
1802 900	1802 900	Request for expedited examination of a design application			
Other fee (specify)					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)	770.00

SUBMITTED BY (Complete if applicable)					
Name (Print/Typed)	Kevin M. Faulkner	Registration No. (Attorney/Agent)	45,427	Telephone	713-892-3729
Signature			Date	11/29/04	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount or time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

790.00

## Complete If Known

Application Number	10/772,823
Filing Date	02/05/2004
First Named Inventor	Simon Mawson
Examiner Name	Cheung, William K.
Art Unit	1713
Attorney Docket No.	2000U042D1-CON2

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None  
 Deposit Account

50-0589

Univation Technologies

The Commissioner is authorized to: (check all that apply)

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 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

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Total Claims	Extra Claims below below	Fee from below	Fee Paid
Independent	-20 =	0 X 18 =	0
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		280	0

Large Entity	Small Entity	Fee Description
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SUBTOTAL (2) (\$)		0.00

\* or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1006 130	2061 66	Surcharge - late filing fee or oath	
1007 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
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1801 750	2801 375	Request for Continued Examination (RCE)	780.00
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

770.00

(Complete if applicable)

Name (Print/Type)	Signature	Registration No. (Attorney/Agent)	Telephone
Kevin M. Faulkner		45,427	713-892-3729

Date

11/29/04

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PTO/SB/30 (01-03)

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**Request  
For  
Continued Examination (RCE)  
Transmittal**

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

<b>Application Number</b>	10/772,823
<b>Filing Date</b>	02/05/2004
<b>First Named Inventor</b>	Simon Mawson
<b>Art Unit</b>	1713
<b>Examiner Name</b>	Cheung, William K.
<b>Attorney Docket Number</b>	2000UD42D1-CON2

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

**1. Submission required under 37 CFR 1.114**a.  Previously submitted

- i.  Consider the amendment(s)/reply under 37 CFR 1.118 previously filed on 10/20/2004  
(Any unentered amendment(s) referred to above will be entered).
- ii.  Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_
- iii.  Other \_\_\_\_\_

b.  Enclosed

- |                                                          |                                                                      |
|----------------------------------------------------------|----------------------------------------------------------------------|
| i. <input type="checkbox"/> Amendment/Reply              | iii. <input type="checkbox"/> Information Disclosure Statement (IDS) |
| ii. <input type="checkbox"/> Affidavit(s)/Declaration(s) | iv. <input type="checkbox"/> Other _____                             |

**2. Miscellaneous**

- a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b.  Other \_\_\_\_\_

**3. Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-0589

- I.  RCE fee required under 37 CFR 1.17(e)
- II.  Extension of time fee (37 CFR 1.136 and 1.17)
- III.  Other \_\_\_\_\_
- b.  Check in the amount of \$ \_\_\_\_\_ enclosed
- c.  Payment by credit card (Form PTO-2038 enclosed)

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type) Kevin M. Balkner Registration No. (Attorney/Agent) 46,427  
Signature Kevin M. Balkner Date 11/29/04

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type) Tammy Hodges Date November 29, 2004  
Signature Tammy Hodges

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.

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